

JAN 21 2000

Box Set

<small>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV 11-98)</small> TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 234/231
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/463542
INTERNATIONAL APPLICATION NO. PCT/US98/15411	INTERNATIONAL FILING DATE July 24, 1998	PRIORITY DATE CLAIMED July 25, 1997
TITLE OF INVENTION HUMAN PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR GAMMA (PPAR Y) GENE REGULATORY SEQUENCES AND USES THEREFOR		
APPLICANT(S) FOR DO/EO/US <u>LIGAND PHARMACEUTICALS INCORPORATED</u> and <u>INSTITUT PASTEUR</u>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern document(s) or information included:		
<ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information: Statement Under 37 C.F.R. 1.821(f), Sequence Listing, Computer Readable diskette, authorization to charge deposit account, and Return postcard, and Preliminary Amendment 		

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Annex US.II, page 2

PCT Applicant's Guide – Volume II – National Chapter – US

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U97463542	PCT/US98/15411	234/231																																																
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00</p>		CALCULATIONS <small>DEPOSITS ONLY</small> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2">FEE VALUE ACCOUNTABILITY</th> </tr> <tr> <th colspan="2">DEPOSIT ACCOUNT NO.</th> </tr> <tr> <td colspan="2">12 2475</td> </tr> <tr> <th>FEE CODE</th> <th>VALUE FURNISHED</th> </tr> <tr> <td>950</td> <td>\$20</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>	FEE VALUE ACCOUNTABILITY		DEPOSIT ACCOUNT NO.		12 2475		FEE CODE	VALUE FURNISHED	950	\$20																																						
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<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																																																		
<p>SEND ALL CORRESPONDENCE TO:</p> <p>JESSICA R. WOLFF, ESQ. LYON & LYON LLP 633 West Fifth Street, Suite 1600 Los Angeles, CA 90071-2066</p>																																																		
<p><i>Jessica R. Wolff</i> SIGNATURE: Jessica R. Wolff</p>																																																		
<p>NAME _____ 37,261</p>																																																		
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